

Mailing

Paper Confirm Fee (Retail Paper Only) (per confirm)	\$2.00
Paper Statement Fee (Retail Paper Only) (per statement)	\$5.00
Paper Prospectus Fee (Retail Paper Only) (per mailing)	\$5.00
Paper Tax Statements (1099, 1042, 5498)	\$5.00
Duplicate Trade Confirmation	\$3.00
Duplicate Account & Tax Statement	\$10.00
Overnight Mail - Domestic (per request)	\$50.00
Overnight Mail - International/Canada (per request)	\$100.00
Return Mail (per piece)	\$2.00

Banking

ACH Notice of Change/Correction	\$5.00
Stop Payments on Apex Issued Checks	\$30.00
Check Copies	\$30.00
Prepayment	min. \$100.00
Returned Checks/ACH/Wires and Recalls (including amendment repairs)	\$30.00

Transfer

DWAC Transfer (per security)	\$200.00
DRS Transfer (per security)	\$215.00
DWAC / DRS reject	\$125.00
TOD Account Transfer Fee (per transfer)	\$200.00

Proxy

Proxy Voting (Foreign): ICSD - Euroclear and Clearstream	\$125.00
Proxy Voting (Foreign): ICSD - All Other Custody Local Settlement Zones	\$250.00

Account

Account Verification Fee	\$15.00
Entity Account Opening Fee (Corporations, LLC, Partnership)	\$100.00
Entity Account Annual Fee (Corporations, LLC, Partnership)	\$200.00
Subpoena request research processing fee	\$150 per hour; min. \$150
Dormant Processing Fee	\$100.00
Enhanced Account Maintenance Fee (per month)	\$50.00

Securities Processing

Transfers - Legal (per security)	\$250.00
Transfers - Restricted (per security)	\$565.00
Transfers - Accomodation T & S (per security)	min. \$335.00
Transfers - Rush T & S Premium (per security)	min. \$350.00
Non Transferable Stocks (per month, per security)	\$10.00
Safekeeping - Monthly (per account, per CUSIP)	\$30.00
Safekeeping - Withdrawals (per withdrawal)	\$200.00
Physicals Foreign Accomodation Transfer	\$350.00
Physical cert request from Electronic shares	min. \$900.00
Physical Trade Fee (Non-DTCC)	\$300.00

Reorganization

Reorganization Activity - domestic voluntary/ Post actionable reorgs - per CUSIP, per account	\$25.00
Reorganization Activity - international voluntary/ Post actionable reorgs - per CUSIP, per account	\$25.00
Reorg Wire Fee (domestic)	\$25.00
Reorg Wire Fee (international)	\$50.00
Mandatory Offers (delivery of physical certificate)	\$125.00

Effective 11/15/2019

Firstrade Cash Management Account: Consolidate your banking and brokerage services all into one account. [More information and Fee schedule.](#)

For assistance - U.S. Toll 1.800.869.8800, service@firstrade.com

EX_FEE_EN 12/2019