



Electronic Funds Transfer

The ACH Transfer System allows you to electronically transfer money from your bank account to your Firstrade account. With this service you can select to make periodic deposits into your account or transfer money on demand without a set transfer schedule.

Setup Initial ACH Profile – Small Deposit Verification

- **STEP 1:** Request a verification code via E-Mail or Text message and enter that code.
- **STEP 2:** Enter bank account information.
- **STEP 3:** Verify the two small deposit amounts.

Setup Initial ACH Profile – ACH Form

- **STEP 1:** Download our [Electronic Funds Transfer \(ACH\) Agreement](#) and input your information. Review, Print and Sign the form.
- **STEP 2:** Attach a voided check or most recent bank statement.
- **STEP 3:** Fax, Email or Mail the above documents to:

Fax: 1-718-961-3919

Email: ACH_Service@firstrade.com

Mailing Address:

Firstrade Securities Inc.
30-50 Whitestone Expwy.
Ste. A301
Flushing, NY 11354

Amend Existing ACH Profile

- **STEP 1:** Download our [Electronic Funds Transfer \(ACH\) Agreement](#) and input your information. Review, Print and Sign the form.
- **STEP 2:** Attach a voided check.
- **STEP 3:** Attach a copy of the most recent bank statement and a copy of a valid government-issued Photo ID for each account holder.
- **STEP 4:** Fax, Email or Mail the above documents to the same address above.

Note:

Electronic Funds Transfer (ACH) is available to U.S. Firstrade accounts and the linked bank account must be via a U.S. bank. Not available for Simple IRA, SEP IRA, or Investment Club accounts. The set up process typically takes 2-3 business days. For Custodial and ESA accounts, bank account must be a UTMA or UGMA. Please follow the Setup Initial ACH Profile – ACH Form instruction listed above.

Electronic Fund Transfer (ACH) Agreement

Authorization for On-Demand Electronic Funds Transfer



Firstrade Account Information:

<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Check Either One: <input type="checkbox"/> Setup Initial ACH Profile <input type="checkbox"/> Amend Existing ACH Profile
Firstrade Account Number	
_____	_____
Firstrade Account Primary Owner's Name (Type or Print)	Firstrade Account Joint Owner's Name (if applicable, Type or Print)

This will serve as authorization for Apex Clearing Corporation to establish Electronic Transfer Instructions to deposit or withdraw funds as ordered by me (us) through Firstrade by **crediting or debiting** my (our) account indicated below.

Bank Account Information:

_____	_____
Bank Account Primary Owner's Name (Type or Print)	Bank Account Joint Owner's Name (if applicable, Type or Print)
_____	_____
Name of the Bank	Bank Account Number
<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="checkbox"/> Checking <input type="checkbox"/> Savings
9 Digit ABA Number (ACH Routing Number)	(Attach original voided check or copy of bank statement)

Optional Periodic Deposits: (Transfer funds into your Firstrade account at a scheduled interval, minimum transfer amount is \$100)

<input type="checkbox"/> Debit my bank account on a regular basis according to the following schedule:		
Amount:	Frequency of Transfer:	Day of Month:
\$ _____	<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-annually	_____

This authorization is to remain in full force and effect until Apex Clearing through Firstrade, has received written notification from me (or either of us) of its termination in such time and in such manner as to afford Apex Clearing and my bank a reasonable opportunity to act on it. I also authorize you to accept debit or credit adjustment if required. I authorize Firstrade and/or Apex Clearing to verify my bank account information with the bank listed above.

Important: Two signatures are required if either your bank account or Firstrade account is joint account.

_____	_____	_____	_____
Bank or Firstrade Account Primary Owner Signature	Date	Bank or Firstrade Account Joint Owner Signature (if applicable)	Date
_____	_____	_____	_____
Bank or Firstrade Account Primary Owner Name (Print)		Bank or Firstrade Account Joint Owner Name (Print)	
_____	_____	_____	_____
Address	City	State	Zip

NOTES:

- * Must be payable through a U.S. domestic banks.
- * Only available to Firstrade U.S. domestic accounts.
- * Starter checks and checks without printed title and address will not be accepted.
- * Not available for initial deposit and custodial account.
- * For savings accounts, submit a copy of savings account statement in lieu of voided check.
- * This process usually takes about 5-7 business days. We will notify you by email when the setup is completed.

John Q. Public 123 Elm Avenue S. Hampton, US 54321	0168 DATE: _____
PAY TO THE ORDER OF Attach Original Voided Check Here	
_____ DOLLARS	
ABA # or ACH Routing #	Bank Account Number
0 1 1 1 2 3 4 5 6	9 8 7 6 5 4 3 2 1 0

